

# Searching for GATTACA

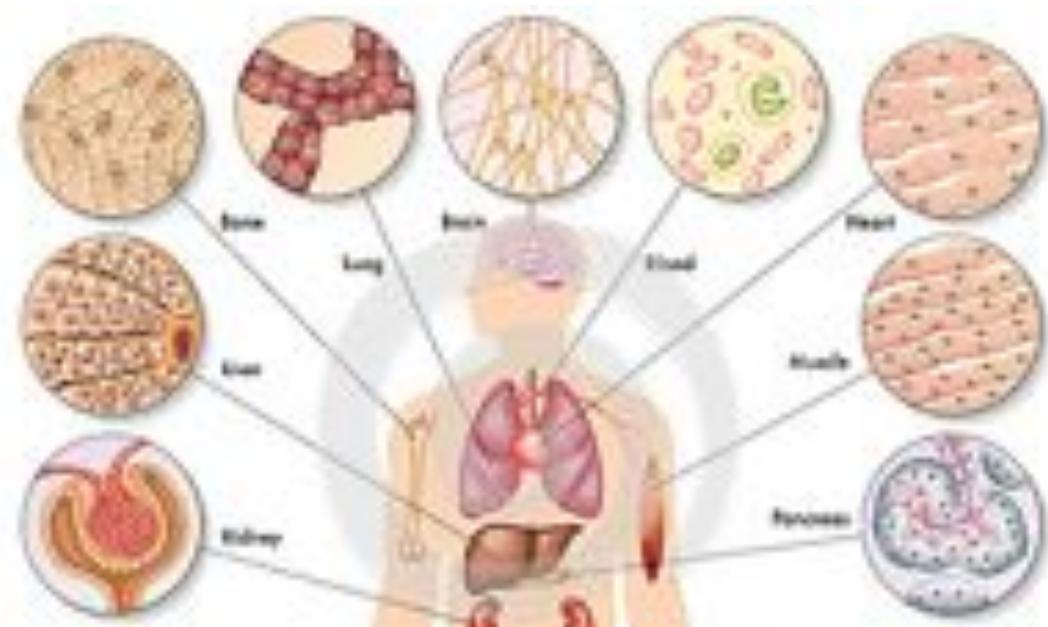
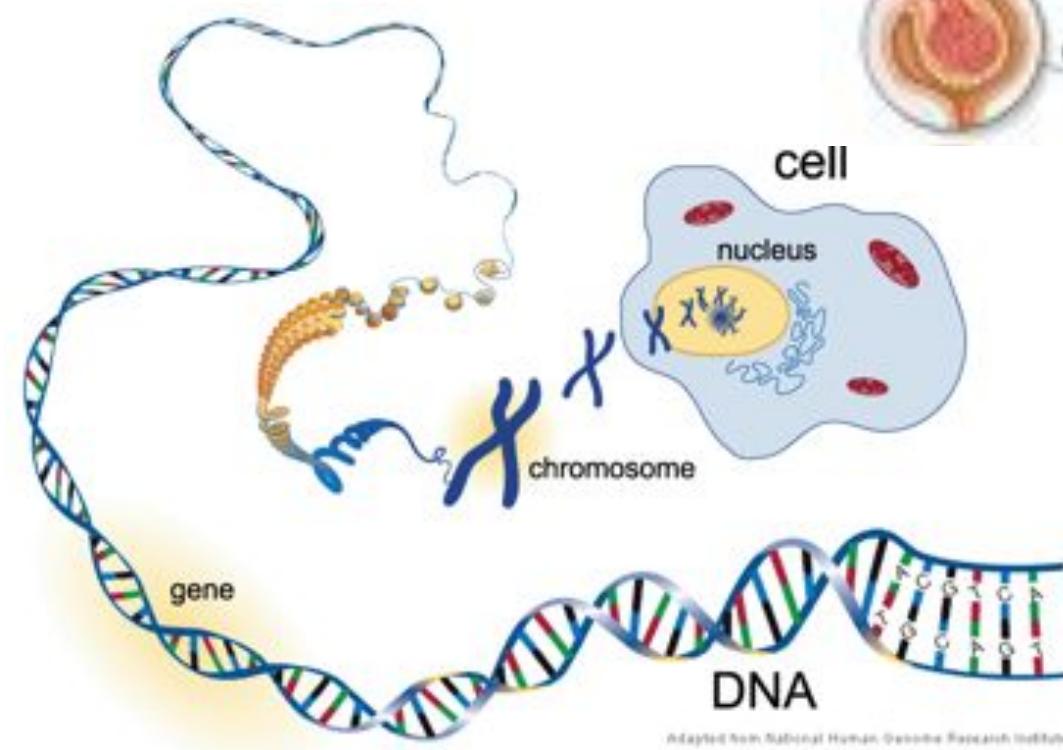
Michael Schatz

Bioinformatics Lecture I  
Undergraduate Research Program 2014

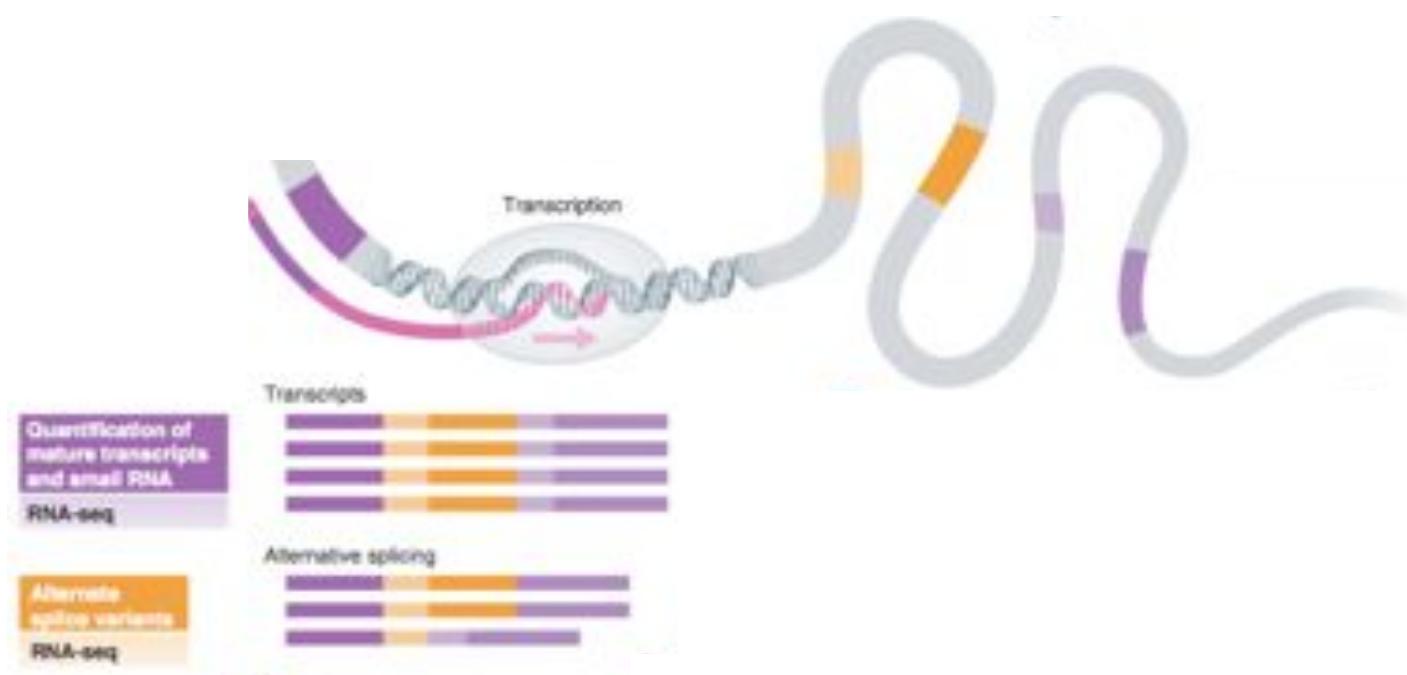


# Cells & DNA

Each cell of your body contains an exact copy of your 3 billion base pair genome.



Your specific nucleotide sequence encodes the genetic program for your cells and ultimately your traits



Soon et al., Molecular Systems Biology, 2013

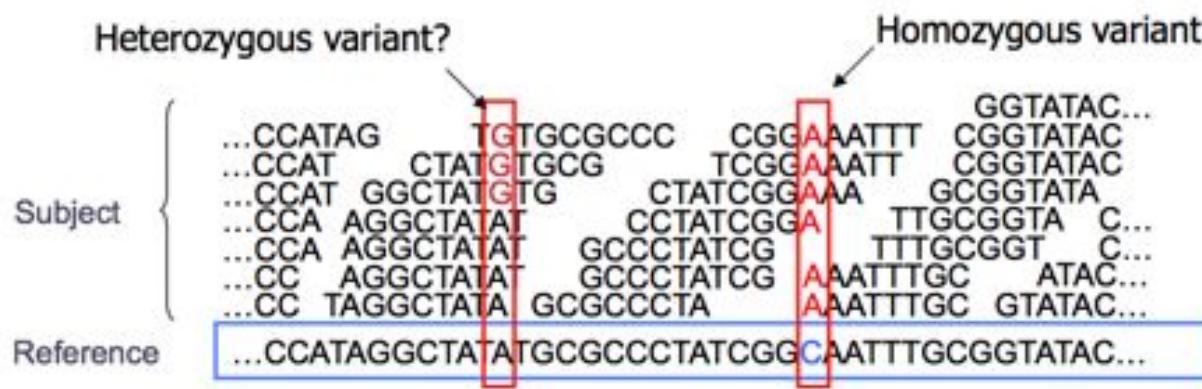
# Sequencing Assays

## The \*Seq List (in chronological order)

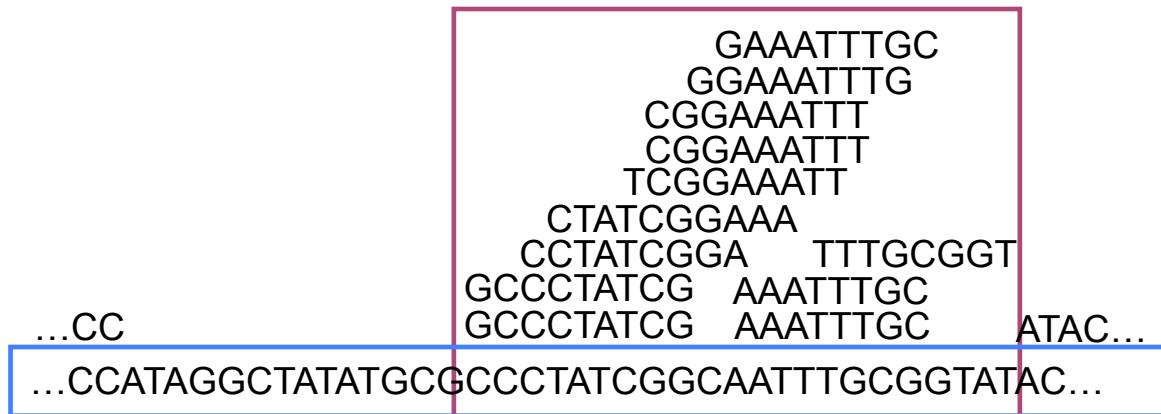
1. Gregory E. Crawford et al., “Genome-wide Mapping of DNase Hypersensitive Sites Using Massively Parallel Signature Sequencing (MPSS),” *Genome Research* 16, no. 1 (January 1, 2006): 123–131, doi:10.1101/gr.4074106.
2. David S. Johnson et al., “Genome-Wide Mapping of in Vivo Protein-DNA Interactions,” *Science* 316, no. 5830 (June 8, 2007): 1497–1502, doi:10.1126/science.1141319.
3. Tarjei S. Mikkelsen et al., “Genome-wide Maps of Chromatin State in Pluripotent and Lineage-committed Cells,” *Nature* 448, no. 7153 (August 2, 2007): 553–560, doi:10.1038/nature06008.
4. Thomas A. Down et al., “A Bayesian Deconvolution Strategy for Immunoprecipitation-based DNA Methylome Analysis,” *Nature Biotechnology* 26, no. 7 (July 2008): 779–785, doi:10.1038/nbt1414.
5. Ali Mortazavi et al., “Mapping and Quantifying Mammalian Transcriptomes by RNA-Seq,” *Nature Methods* 5, no. 7 (July 2008): 621–628, doi:10.1038/nmeth.1226.
6. Nathan A. Baird et al., “Rapid SNP Discovery and Genetic Mapping Using Sequenced RAD Markers,” *PLoS ONE* 3, no. 10 (October 13, 2008): e3376, doi:10.1371/journal.pone.0003376.
7. Leighton J. Core, Joshua J. Waterfall, and John T. Lis, “Nascent RNA Sequencing Reveals Widespread Pausing and Divergent Initiation at Human Promoters,” *Science* 322, no. 5909 (December 19, 2008): 1845–1848, doi:10.1126/science.1162228.
8. Chao Xie and Martti T. Tammi, “CNV-seq, a New Method to Detect Copy Number Variation Using High-throughput Sequencing,” *BMC Bioinformatics* 10, no. 1 (March 6, 2009): 80, doi:10.1186/1471-2105-10-80.
9. Jay R. Hesselberth et al., “Global Mapping of protein-DNA Interactions in Vivo by Digital Genomic Footprinting,” *Nature Methods* 6, no. 4 (April 2009): 283–289, doi:10.1038/nmeth.1313.
10. Nicholas T. Ingolia et al., “Genome-Wide Analysis in Vivo of Translation with Nucleotide Resolution Using Ribosome Profiling,” *Science* 324, no. 5924 (April 10, 2009): 218–223, doi:10.1126/science.1168978.
11. Alayne L. Brunner et al., “Distinct DNA Methylation Patterns Characterize Differentiated Human Embryonic Stem Cells and Developing Human Fetal Liver,” *Genome Research* 19, no. 6 (June 1, 2009): 1044–1056, doi:10.1101/gr.088773.108.
12. Mayumi Oda et al., “High-resolution Genome-wide Cytosine Methylation Profiling with Simultaneous Copy Number Analysis and Optimization for Limited Cell Numbers,” *Nucleic Acids Research* 37, no. 12 (July 1, 2009): 3829–3839, doi:10.1093/nar/gkp260.
13. Zachary D. Smith et al., “High-throughput Bisulfite Sequencing in Mammalian Genomes,” *Methods* 48, no. 3 (July 2009): 226–232, doi:10.1016/j.ymeth.2009.05.003.
14. Andrew M. Smith et al., “Quantitative Phenotyping via Deep Barcode Sequencing,” *Genome Research* (July 21, 2009), doi:10.1101/gr.

# Short Read Applications

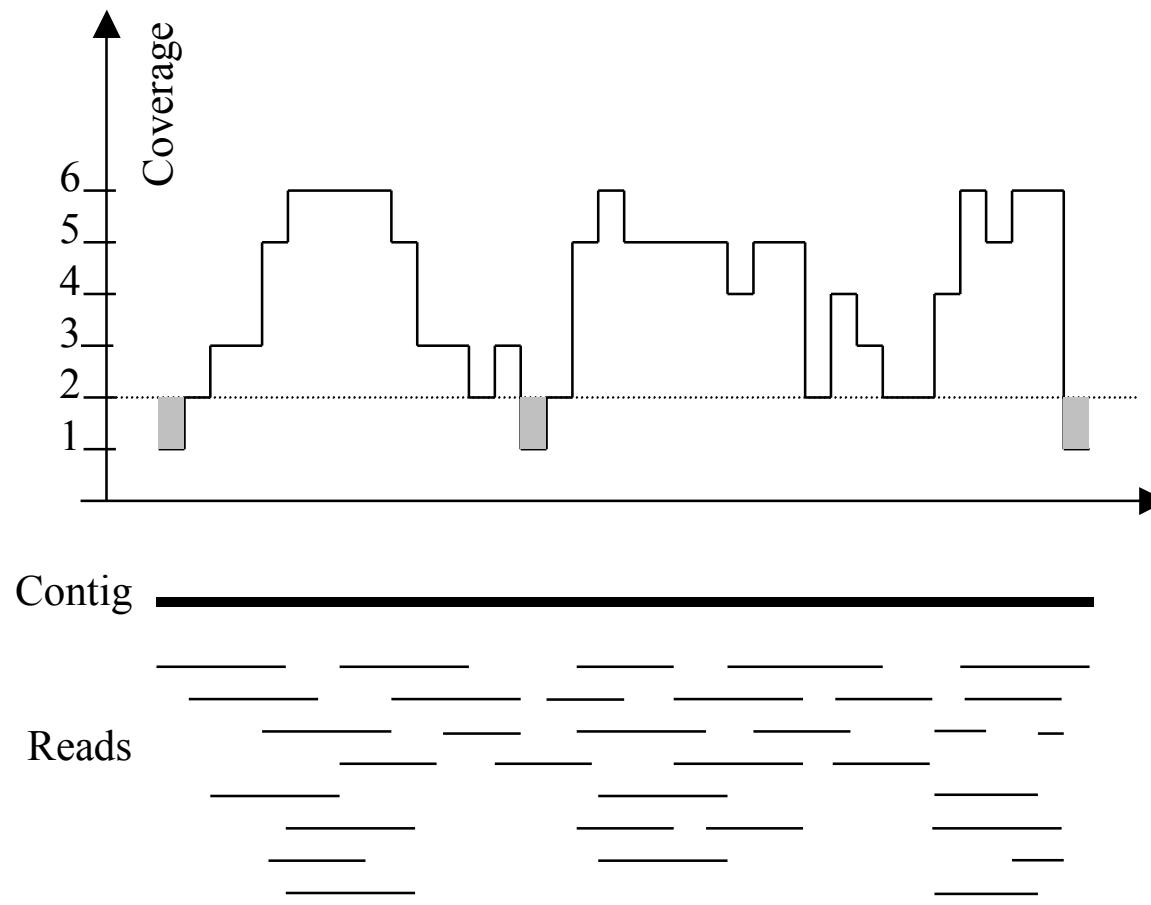
- Genotyping: Identify Variations



- \*-seq: Classify & measure significant peaks

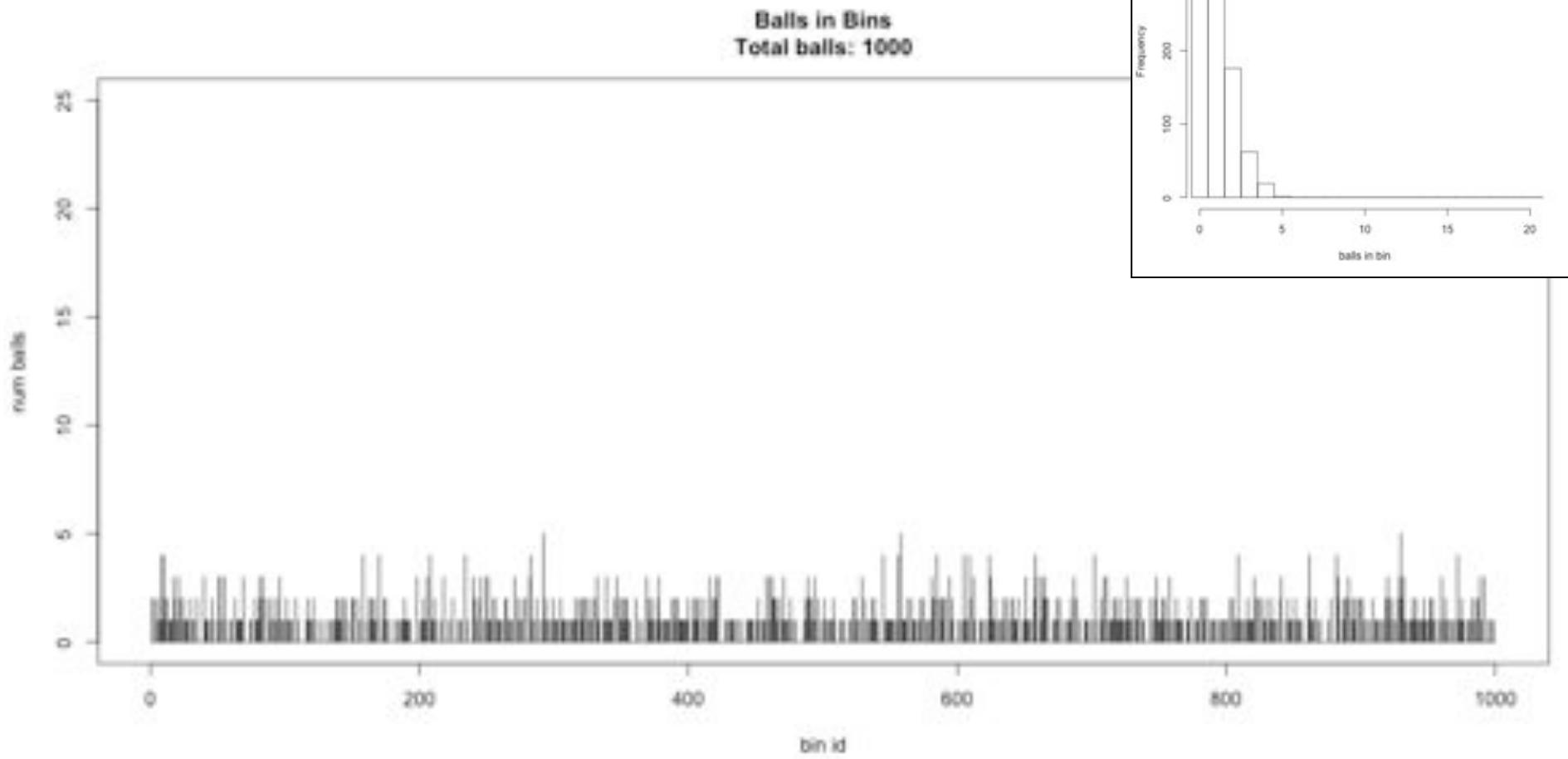


# Typical sequencing coverage

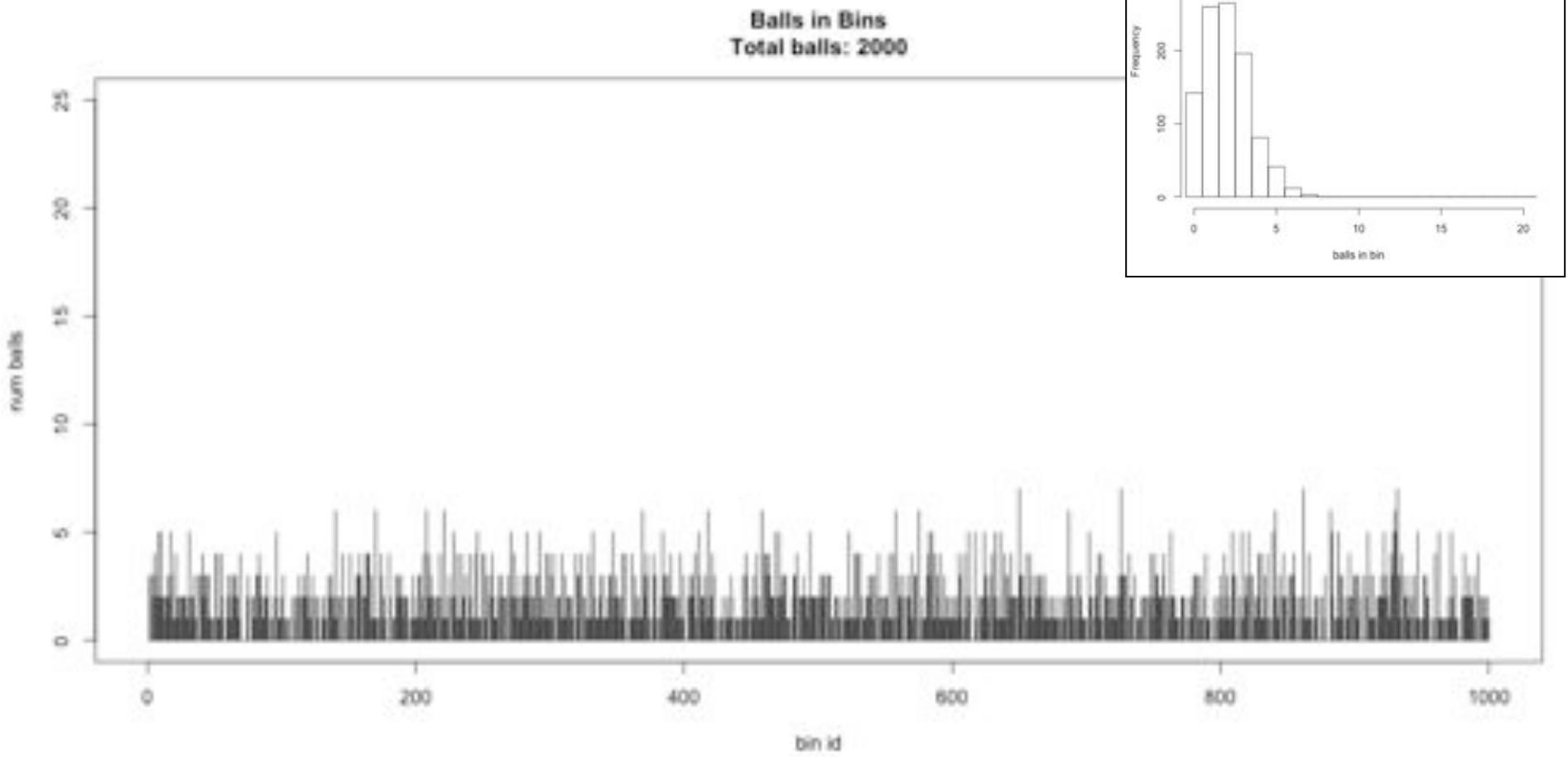


Imagine raindrops on a sidewalk

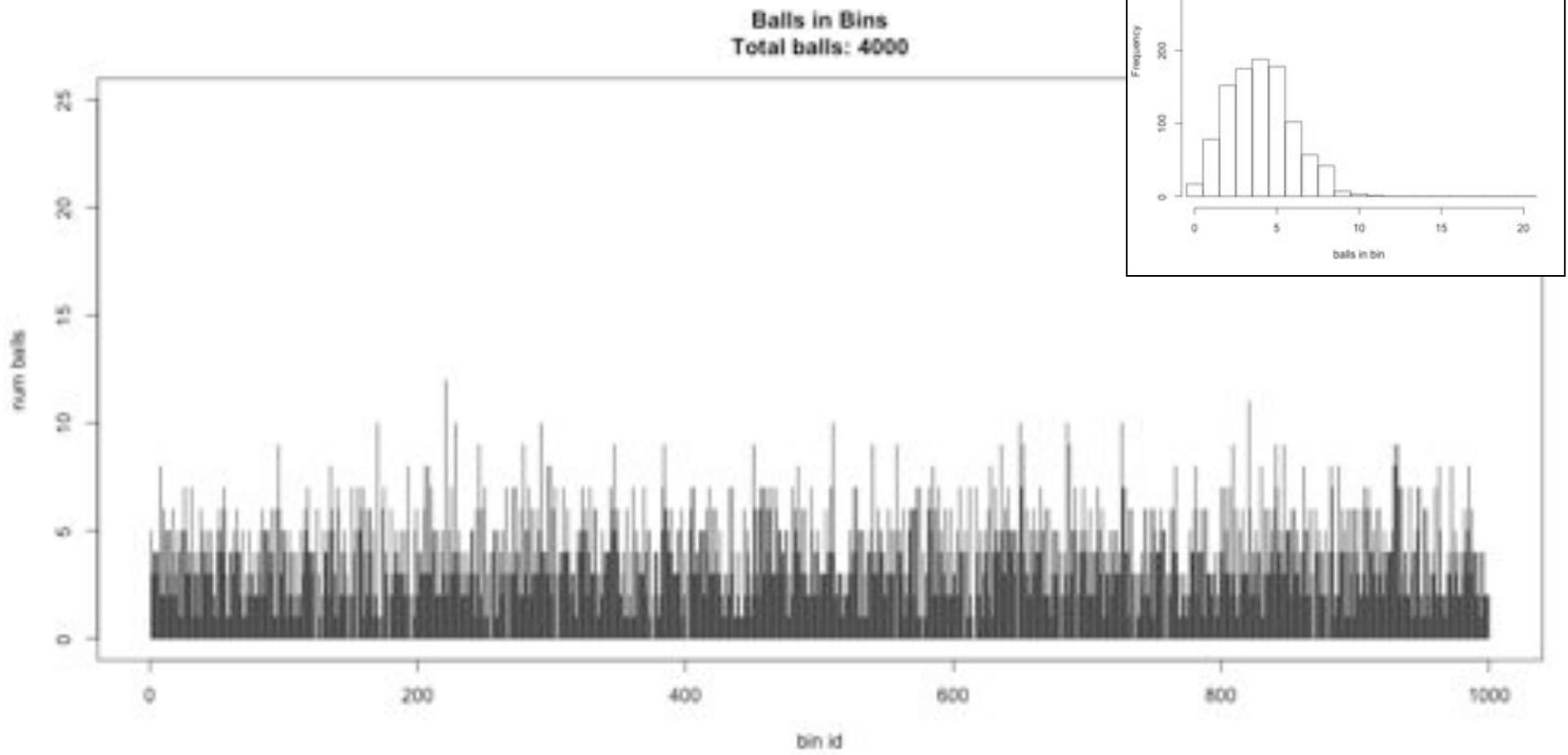
# Ix sequencing



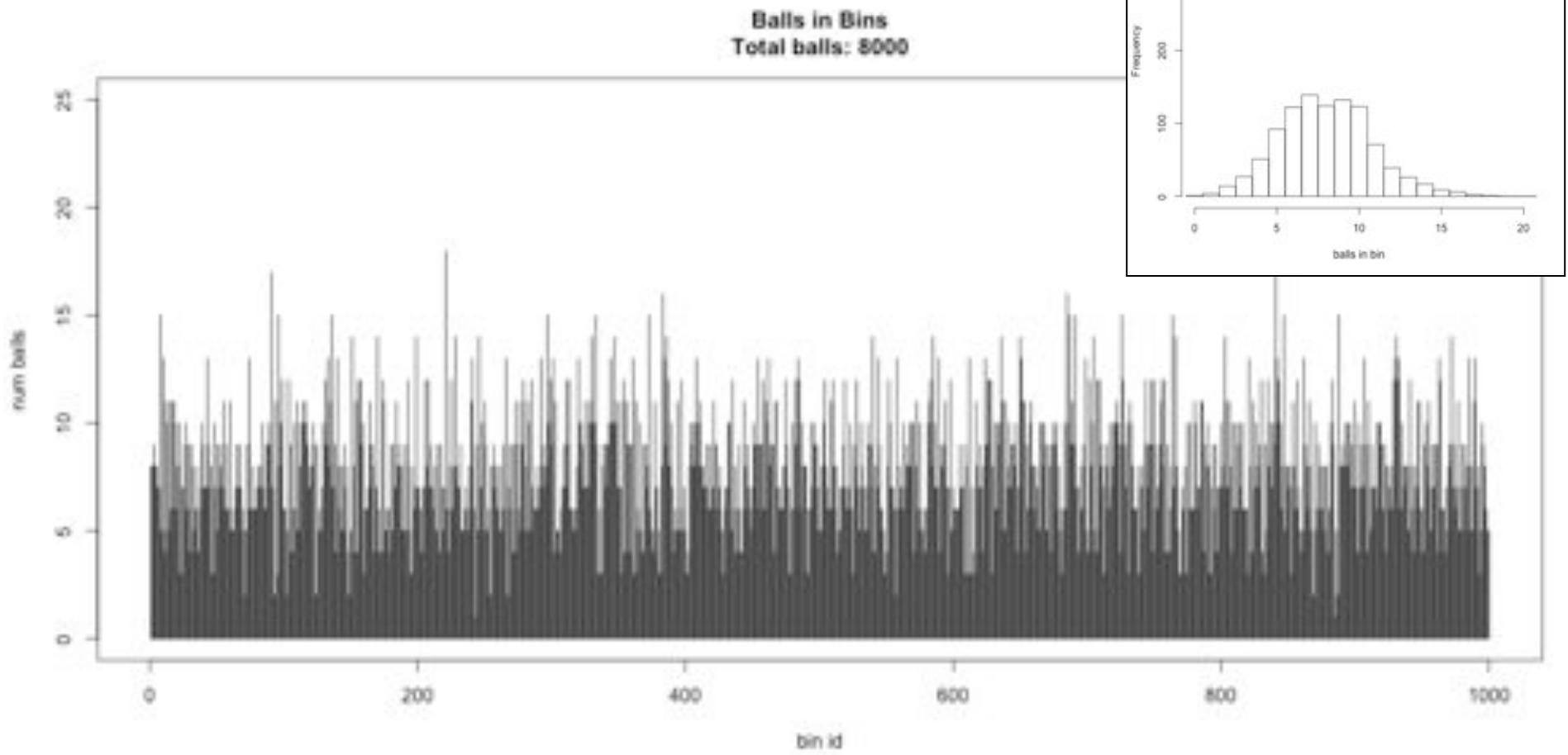
# 2x sequencing



# 4x sequencing



# 8x sequencing



# Poisson Distribution

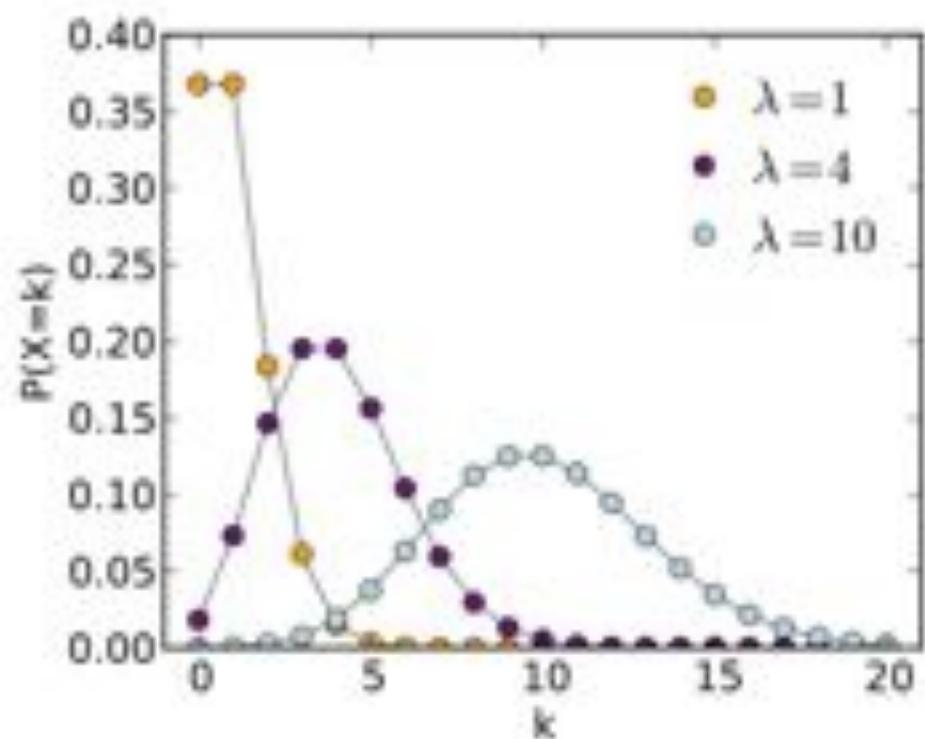
The probability of a given number of events occurring in a fixed interval of time and/or space if these events occur with a known average rate and independently of the time since the last event.

Formulation comes from the limit of the binomial equation

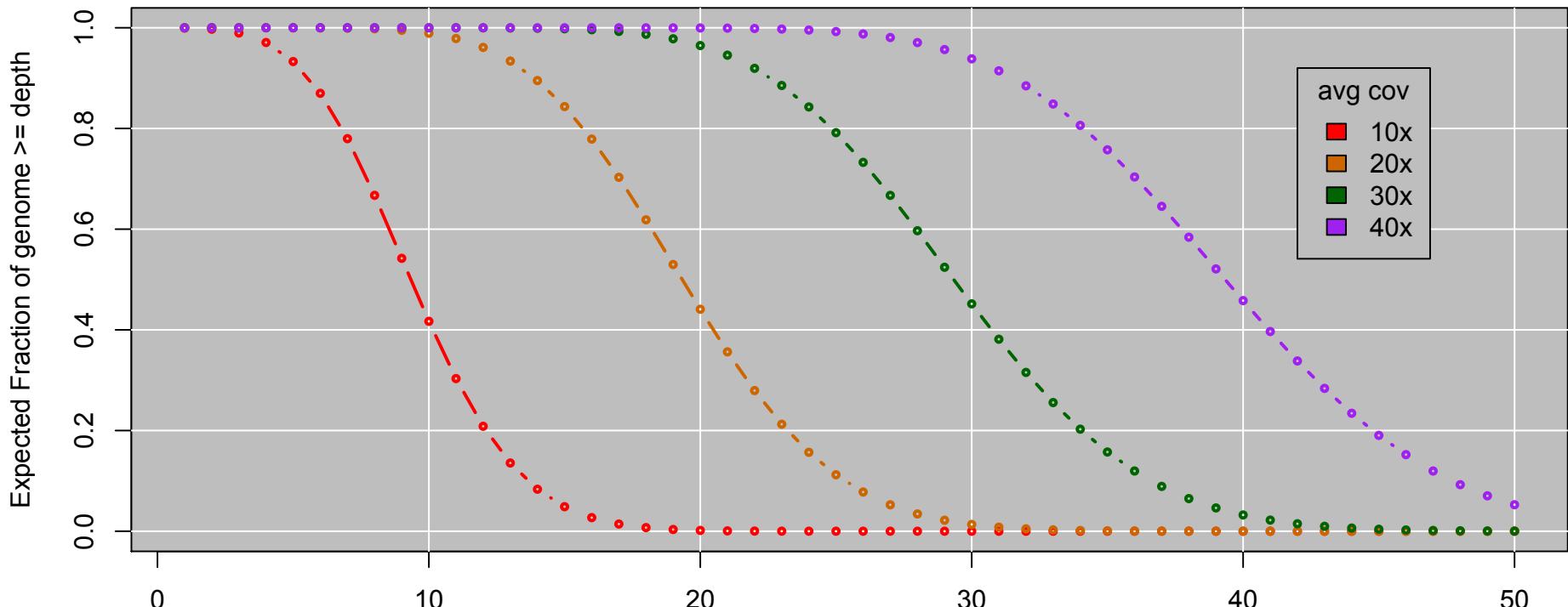
Resembles a normal distribution, but over the positive values, and with only a single parameter.

***Key property: The standard deviation is the square root of the mean.***

$$P(k) = \frac{\lambda^k}{k!} e^{-\lambda}$$



# Genome Coverage Distribution



Expect Poisson distribution on depth

- Standard Deviation =  $\sqrt{\text{cov}}$

This is the mathematically model => reality may be much worse

- Double your coverage for diploid genomes
- Can use somewhat lower coverage in a population to find common variants

# Searching for GATTACA

- Where is GATTACA in the human genome?
- Strategy I: Brute Force

I	2	3	4	5	6	7	8	9	10	11	12	13	14	15	...
T	G	A	T	T	A	C	A	G	A	T	T	A	C	C	...
G	A	T	T	A	C	A									

No match at offset 1

# Searching for GATTACA

- Where is GATTACA in the human genome?
- Strategy I: Brute Force

I	2	3	4	5	6	7	8	9	10	11	12	13	14	15	...
T	G	A	T	T	A	C	A	G	A	T	T	A	C	C	...
	<b>G</b>	<b>A</b>	<b>T</b>	<b>T</b>	<b>A</b>	<b>C</b>	<b>A</b>								

Match at offset 2

# Searching for GATTACA

- Where is GATTACA in the human genome?
- Strategy I: Brute Force

I	2	3	4	5	6	7	8	9	10	11	12	13	14	15	...
T	G	A	T	T	A	C	A	G	A	T	T	A	C	C	...
		G	A	T	T	A	C	A	...						

No match at offset 3...

# Searching for GATTACA

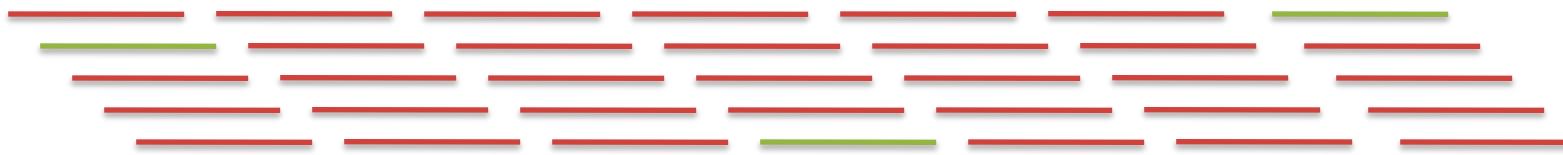
- Where is GATTACA in the human genome?
- Strategy I: Brute Force

I	2	3	4	5	6	7	8	9	10	11	12	13	14	15	...
T	G	A	T	T	A	C	A	G	A	T	T	A	C	C	...
								G	A	T	T	A	C	A	

No match at offset 9 <- Checking each possible position takes time

# Brute Force Analysis

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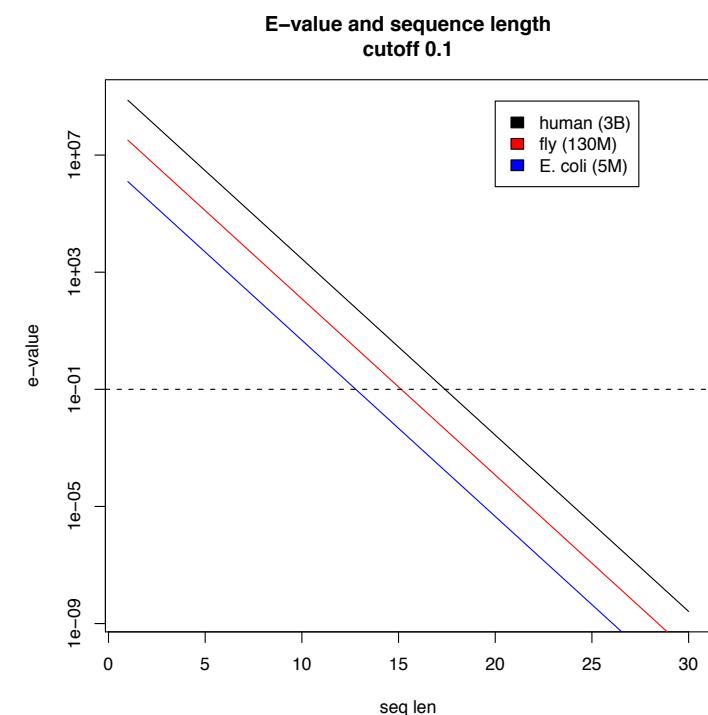
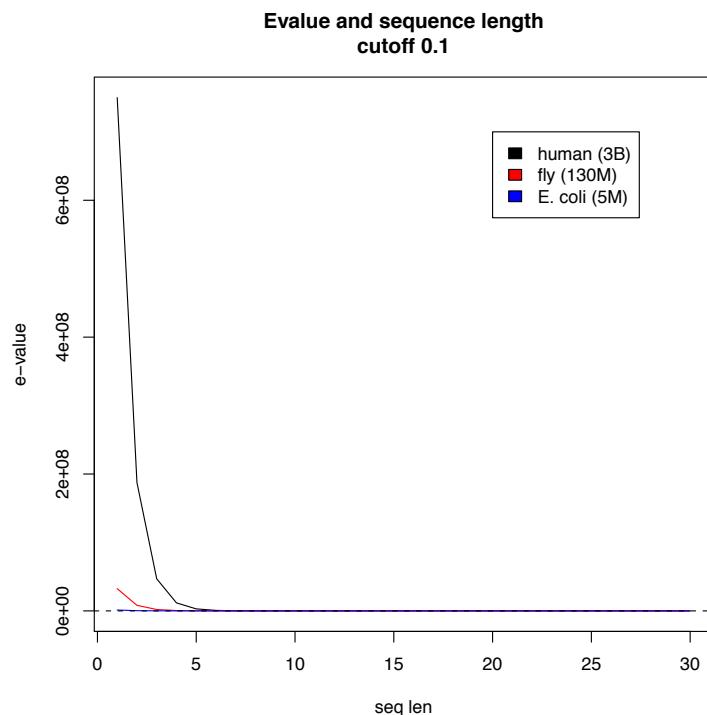
- Brute Force:
  - At every possible offset in the genome:
    - Do all of the characters of the query match?
- Analysis
  - Simple, easy to understand
  - Genome length =  $n$
  - Query length =  $m$
  - Comparisons:  $(n-m+1) * m$
- Overall runtime:  $O(nm)$ 
  - [How long would it take if we double the genome size, read length?]
  - [How long would it take if we double both?]

# Expected Occurrences

The expected number of occurrences (e-value) of a given sequence in a genome depends on the length of the genome and inversely on the length of the sequence

- 1 in 4 bases are G, 1 in 16 positions are GA, 1 in 64 positions are GAT, ...
- 1 in 16,384 should be GATTACA
- $E=n/(4^m)$

[183,105 expected occurrences]  
[How long do the reads need to be for a significant match?]



# Brute Force Reflections

Why check every position?

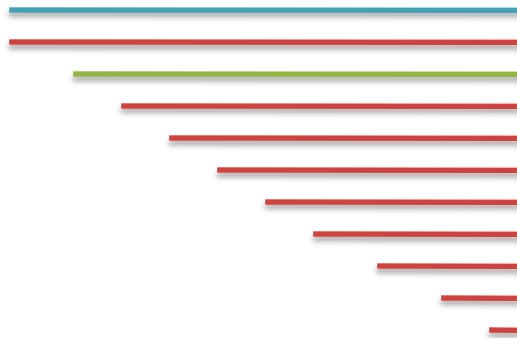
- GATTACA can't possibly start at position 15 [WHY?]

I	2	3	4	5	6	7	8	9	10	11	12	13	14	15	...
T	G	A	T	T	A	C	A	G	A	T	T	A	C	C	...
								<b>G</b>	<b>A</b>	<b>T</b>	<b>T</b>	<b>A</b>	<b>C</b>	<b>A</b>	

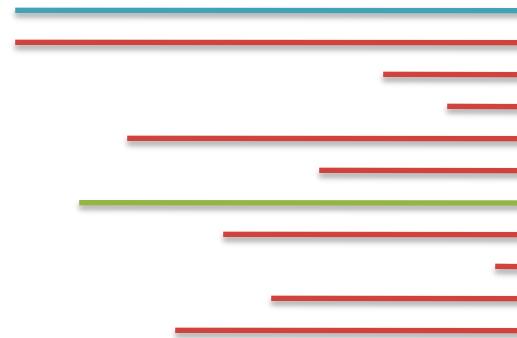
- Improve runtime to  $O(n + m)$  [3B + 7]
  - If we double both, it just takes twice as long
  - Knuth-Morris-Pratt, 1977
  - Boyer-Moyer, 1977, 1991
- For one-off scans, this is the best we can do (optimal performance)
  - We have to read every character of the genome, and every character of the query
  - For short queries, runtime is dominated by the length of the genome

# Suffix Arrays: Searching the Phone Book

- What if we need to check many queries?
  - We don't need to check every page of the phone book to find 'Schatz'
  - Sorting alphabetically lets us immediately skip 96% (25/26) of the book *without any loss in accuracy*
- Sorting the genome: Suffix Array (Manber & Myers, 1991)
  - Sort every suffix of the genome



Split into n suffixes



Sort suffixes alphabetically

[Challenge Question: How else could we split the genome?]

# Searching the Index

- **Strategy 2: Binary search**
  - Compare to the middle, refine as higher or lower
- Searching for GATTACA
  - Lo = 1; Hi = 15;

#	Sequence	Pos
1	ACAGATTACC...	6
2	ACC...	13
3	AGATTACC...	8
4	ATTACAGATTACC...	3
5	ATTACC...	10
6	C...	15
7	CAGATTACC...	7
8	CC...	14
9	GATTACAGATTACC...	2
10	GATTACC...	9
11	TACAGATTACC...	5
12	TACC...	12
13	TGATTACAGATTACC...	1
14	TTACAGATTACC...	4
15	TTACC...	11

# Searching the Index

- **Strategy 2: Binary search**
  - Compare to the middle, refine as higher or lower
- Searching for GATTACA
  - $\text{Lo} = 1; \text{Hi} = 15; \text{Mid} = (1+15)/2 = 8$
  - Middle = Suffix[8] = CC

#	Sequence	Pos
1	ACAGATTACC...	6
2	ACC...	13
3	AGATTACC...	8
4	ATTACAGATTACC...	3
5	ATTACC...	10
6	C...	15
7	CAGATTACC...	7
8	CC...	14
9	GATTACAGATTACC...	2
10	GATTACC...	9
11	TACAGATTACC...	5
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13	TGATTACAGATTACC...	1
14	TTACAGATTACC...	4
15	TTACC...	11

# Searching the Index

- **Strategy 2: Binary search**
  - Compare to the middle, refine as higher or lower
- Searching for GATTACA
  - $\text{Lo} = 1; \text{Hi} = 15; \text{Mid} = (1+15)/2 = 8$
  - Middle = Suffix[8] = CC  
=> Higher: Lo = Mid + 1

#	Sequence	Pos
1	ACAGATTACC...	6
2	ACC...	13
3	AGATTACC...	8
4	ATTACAGATTACC...	3
5	ATTACC...	10
6	C...	15
7	CAGATTACC...	7
8	CC...	14
9	GATTACAGATTACC...	2
10	GATTACC...	9
11	TACAGATTACC...	5
12	TACC...	12
13	TGATTACAGATTACC...	1
14	TTACAGATTACC...	4
15	TTACC...	11

# Searching the Index

- **Strategy 2: Binary search**
  - Compare to the middle, refine as higher or lower
- Searching for GATTACA
  - $Lo = 1; Hi = 15; Mid = (1+15)/2 = 8$
  - Middle = Suffix[8] = CC  
=> Higher:  $Lo = Mid + 1$
  - $Lo = 9; Hi = 15;$

#	Sequence	Pos
1	ACAGATTACC...	6
2	ACC...	13
3	AGATTACC...	8
4	ATTACAGATTACC...	3
5	ATTACC...	10
6	C...	15
7	CAGATTACC...	7
8	CC...	14
9	GATTACAGATTACC...	2
10	GATTACC...	9
11	TACAGATTACC...	5
12	TACC...	12
13	TGATTACAGATTACC...	1
14	TTACAGATTACC...	4
15	TTACC...	11

Lo  
→

Hi  
→

# Searching the Index

- **Strategy 2: Binary search**
  - Compare to the middle, refine as higher or lower
- Searching for GATTACA
  - $Lo = 1; Hi = 15; Mid = (1+15)/2 = 8$
  - Middle = Suffix[8] = CC  
=> Higher:  $Lo = Mid + 1$
  - $Lo = 9; Hi = 15; Mid = (9+15)/2 = 12$
  - Middle = Suffix[12] = TACC

#	Sequence	Pos
1	ACAGATTACC...	6
2	ACC...	13
3	AGATTACC...	8
4	ATTACAGATTACC...	3
5	ATTACC...	10
6	C...	15
7	CAGATTACC...	7
8	CC...	14
9	GATTACAGATTACC...	2
10	GATTACC...	9
11	TACAGATTACC...	5
12	TACC...	12
13	TGATTACAGATTACC...	1
14	TTACAGATTACC...	4
15	TTACC...	11

Lo

Hi

# Searching the Index

- **Strategy 2: Binary search**
  - Compare to the middle, refine as higher or lower
- Searching for GATTACA
  - $Lo = 1; Hi = 15; Mid = (1+15)/2 = 8$
  - Middle = Suffix[8] = CC  
=> Higher: Lo = Mid + 1
  - $Lo = 9; Hi = 15; Mid = (9+15)/2 = 12$
  - Middle = Suffix[12] = TACC  
=> Lower: Hi = Mid - 1
  - $Lo = 9; Hi = 11;$

#	Sequence	Pos
1	ACAGATTACC...	6
2	ACC...	13
3	AGATTACC...	8
4	ATTACAGATTACC...	3
5	ATTACC...	10
6	C...	15
7	CAGATTACC...	7
8	CC...	14
9	GATTACAGATTACC...	2
10	GATTACC...	9
11	TACAGATTACC...	5
12	TACC...	12
13	TGATTACAGATTACC...	1
14	TTACAGATTACC...	4
15	TTACC...	11

Lo  
→

Hi  
→

# Searching the Index

- **Strategy 2: Binary search**
  - Compare to the middle, refine as higher or lower
- Searching for GATTACA
  - Lo = 1; Hi = 15; Mid =  $(1+15)/2 = 8$
  - Middle = Suffix[8] = CC  
=> Higher: Lo = Mid + 1
  - Lo = 9; Hi = 15; Mid =  $(9+15)/2 = 12$
  - Middle = Suffix[12] = TACC  
=> Lower: Hi = Mid - 1
  - Lo = 9; Hi = 11; Mid =  $(9+11)/2 = 10$
  - Middle = Suffix[10] = GATTACC

#	Sequence	Pos
1	ACAGATTACC...	6
2	ACC...	13
3	AGATTACC...	8
4	ATTACAGATTACC...	3
5	ATTACC...	10
6	C...	15
7	CAGATTACC...	7
8	CC...	14
9	GATTACAGATTACC...	2
10	GATTACC...	9
11	TACAGATTACC...	5
12	TACC...	12
13	TGATTACAGATTACC...	1
14	TTACAGATTACC...	4
15	TTACC...	11

Lo →

Hi →

# Searching the Index

- **Strategy 2: Binary search**
  - Compare to the middle, refine as higher or lower
- Searching for GATTACA
  - Lo = 1; Hi = 15; Mid =  $(1+15)/2 = 8$
  - Middle = Suffix[8] = CC  
=> Higher: Lo = Mid + 1
  - Lo = 9; Hi = 15; Mid =  $(9+15)/2 = 12$
  - Middle = Suffix[12] = TACC  
=> Lower: Hi = Mid - 1
  - Lo = 9; Hi = 11; Mid =  $(9+11)/2 = 10$
  - Middle = Suffix[10] = GATTACC  
=> Lower: Hi = Mid - 1
  - Lo = 9; Hi = 9;

#	Sequence	Pos
1	ACAGATTACC...	6
2	ACC...	13
3	AGATTACC...	8
4	ATTACAGATTACC...	3
5	ATTACC...	10
6	C...	15
7	CAGATTACC...	7
8	CC...	14
9	GATTACAGATTACC...	2
10	GATTACC...	9
11	TACAGATTACC...	5
12	TACC...	12
13	TGATTACAGATTACC...	1
14	TTACAGATTACC...	4
15	TTACC...	11

Lo  
Hi



# Searching the Index

- **Strategy 2: Binary search**
  - Compare to the middle, refine as higher or lower
- Searching for GATTACA
  - Lo = 1; Hi = 15; Mid =  $(1+15)/2 = 8$
  - Middle = Suffix[8] = CC  
=> Higher: Lo = Mid + 1
  - Lo = 9; Hi = 15; Mid =  $(9+15)/2 = 12$
  - Middle = Suffix[12] = TACC  
=> Lower: Hi = Mid - 1
  - Lo = 9; Hi = 11; Mid =  $(9+11)/2 = 10$
  - Middle = Suffix[10] = GATTACC  
=> Lower: Hi = Mid - 1
  - Lo = 9; Hi = 9; Mid =  $(9+9)/2 = 9$
  - Middle = Suffix[9] = GATTACA...  
=> Match at position 2!



#	Sequence	Pos
1	ACAGATTACC...	6
2	ACC...	13
3	AGATTACC...	8
4	ATTACAGATTACC...	3
5	ATTACC...	10
6	C...	15
7	CAGATTACC...	7
8	CC...	14
9	GATTACA <b>GATTACC...</b>	2
10	GATTACC...	9
11	TACAGATTACC...	5
12	TACC...	12
13	TGATTACAGATTACC...	1
14	TTACAGATTACC...	4
15	TTACC...	11

# Binary Search Analysis

- Binary Search

    Initialize search range to entire list

$mid = (hi+lo)/2$ ;  $middle = suffix[mid]$

        if query matches middle: done

        else if query < middle: pick low range

        else if query > middle: pick hi range

    Repeat until done or empty range

[WHEN?]

- Analysis

- More complicated method

- How many times do we repeat?

- How many times can it cut the range in half?

- Find smallest  $x$  such that:  $n/(2^x) \leq 1$ ;  $x = \lg_2(n)$

[32]

- Total Runtime:  $O(m \lg n)$

- More complicated, but **much** faster!

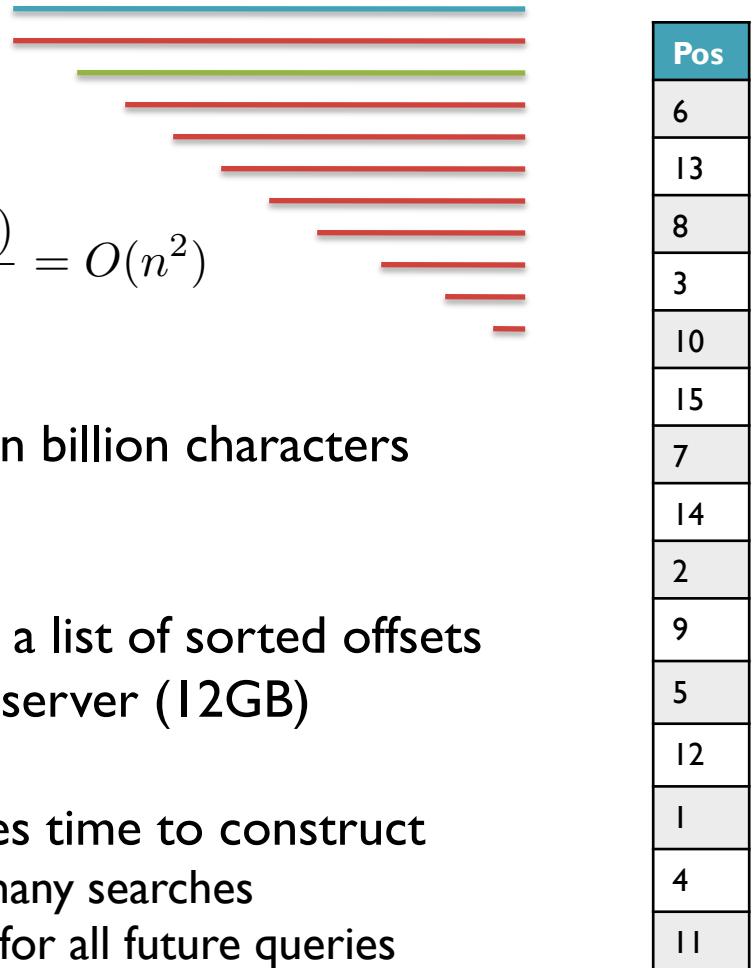
- Looking up a query loops 32 times instead of 3B

[How long does it take to search 6B or 24B nucleotides?]

# Suffix Array Construction

- How can we store the suffix array?  
[How many characters are in all suffixes combined?]

$$S = 1 + 2 + 3 + \dots + n = \sum_{i=1}^n i = \frac{n(n+1)}{2} = O(n^2)$$



- Hopeless to explicitly store 4.5 billion billion characters
- Instead use implicit representation
  - Keep 1 copy of the genome, and a list of sorted offsets
  - Storing 3 billion offsets fits on a server (12GB)
- Searching the array is very fast, but it takes time to construct
  - This time will be amortized over many, many searches
  - Run it once "overnight" and save it away for all future queries

TGATTACAGATTACC

# Sorting

Quickly sort these numbers into ascending order:

14, 29, 6, 31, 39, 64, 78, 50, 13, 63, 61, 19

[How do you do it?]

6, 14, 29, 31, 39, 64, 78, 50, 13, 63, 61, 19

6, 13, 14, 29, 31, 39, 64, 78, 50, 63, 61, 19

6, 13, 14, 19, 29, 31, 39, 64, 78, 50, 63, 61

6, 13, 14, 19, 29, 31, 39, 64, 78, 50, 63, 61

6, 13, 14, 19, 29, 31, 39, 64, 78, 50, 63, 61

6, 13, 14, 19, 29, 31, 39, 50, 64, 78, 63, 61

6, 13, 14, 19, 29, 31, 39, 50, 61, 64, 78, 63

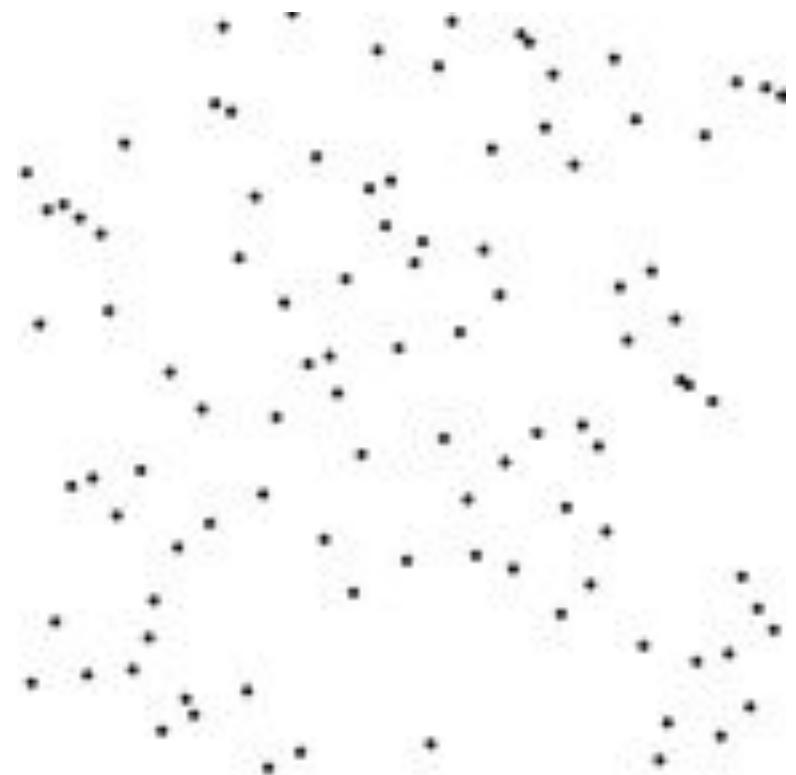
6, 13, 14, 19, 29, 31, 39, 50, 61, 63, 64, 78

6, 13, 14, 19, 29, 31, 39, 50, 61, 63, 64, 78

6, 13, 14, 19, 29, 31, 39, 50, 61, 63, 64, 78

6, 13, 14, 19, 29, 31, 39, 50, 61, 63, 64, 78

6, 13, 14, 19, 29, 31, 39, 50, 61, 63, 64, 78



# Selection Sort Analysis

- Selection Sort (Input: list of n numbers)

```
for pos = 1 to n
```

```
    // find the smallest element in [pos, n]
```

```
    smallest = pos
```

```
    for check = pos+1 to n
```

```
        if (list[check] < list[smallest]): smallest = check
```

```
    // move the smallest element to the front
```

```
    tmp = list[smallest]
```

```
    list[pos] = list[smallest]
```

```
    list[smallest] = tmp
```

- Analysis

$$T = n + (n - 1) + (n - 2) + \dots + 3 + 2 + 1 = \sum_{i=1}^n i = \frac{n(n + 1)}{2} = O(n^2)$$

- Outer loop: pos = 1 to n

- Inner loop: check = pos to n

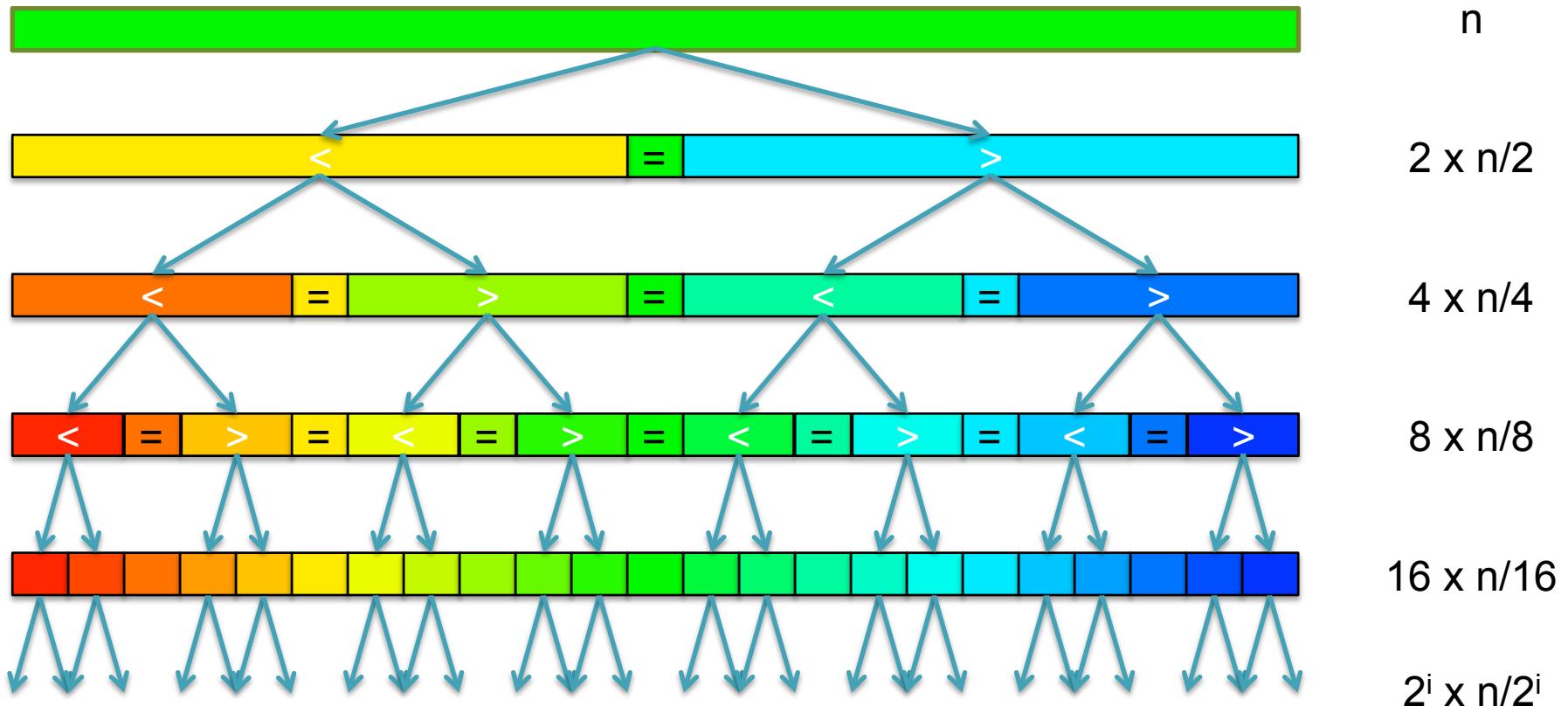
- Running time: Outer \* Inner =  $O(n^2)$

[4.5 Billion Billion]

[Challenge Questions: Why is this slow? / Can we sort any faster?]

# Divide and Conquer

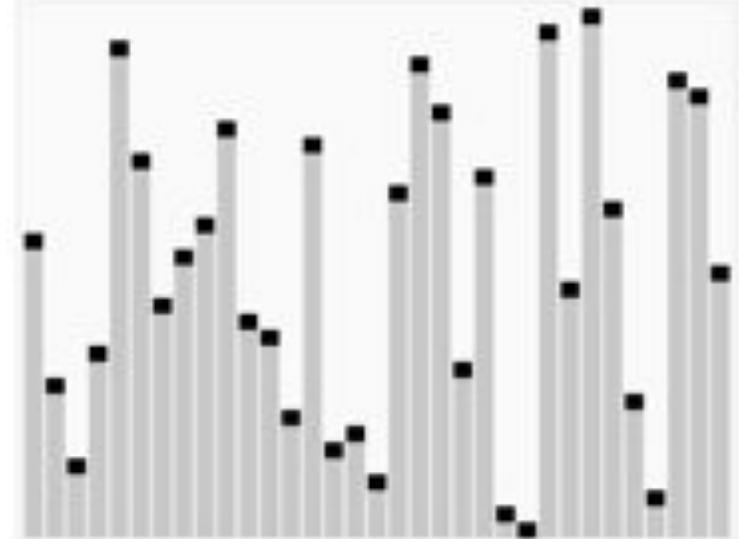
- Selection sort is slow because it rescans the entire list for each element
  - How can we split up the unsorted list into independent ranges?
  - Hint 1: Binary search splits up the problem into 2 independent ranges (hi/lo)
  - Hint 2: Assume we know the median value of a list



[How many times can we split a list in half?]

# QuickSort Analysis

- QuickSort(Input: list of n numbers)  
// see if we can quit  
if (length(list)) <= 1): return list  
  
// split list into lo & hi  
pivot = median(list)  
lo = {}; hi = {};  
for (i = 1 to length(list))  
    if (list[i] < pivot): append(lo, list[i])  
    else:                append(hi, list[i])



<http://en.wikipedia.org/wiki/Quicksort>

- // recurse on sublists  
return (append(QuickSort(lo), QuickSort(hi)))

- Analysis (Assume we can find the median in  $O(n)$ )

$$T(n) = \begin{cases} O(1) & \text{if } n \leq 1 \\ O(n) + 2T(n/2) & \text{else} \end{cases}$$

$$T(n) = n + 2\left(\frac{n}{2}\right) + 4\left(\frac{n}{4}\right) + \dots + n\left(\frac{n}{n}\right) = \sum_{i=0}^{\lg(n)} \frac{2^i n}{2^i} = \sum_{i=0}^{\lg(n)} n = O(n \lg n) \quad [\sim 94B]$$

# QuickSort Analysis

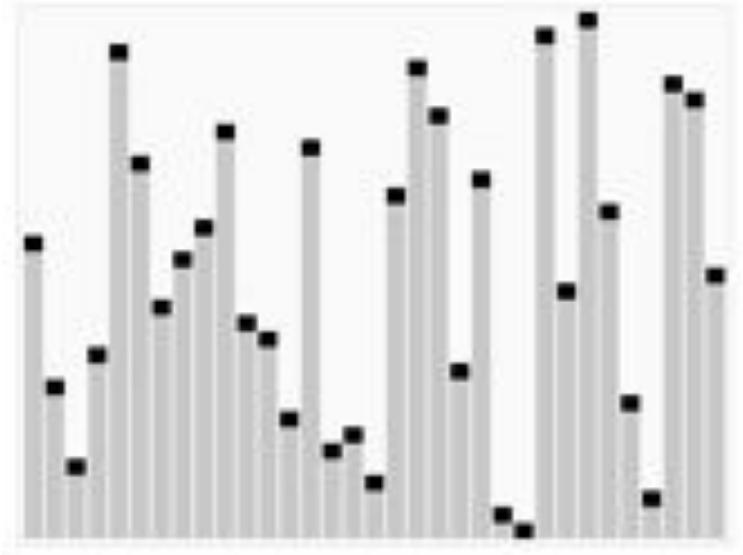
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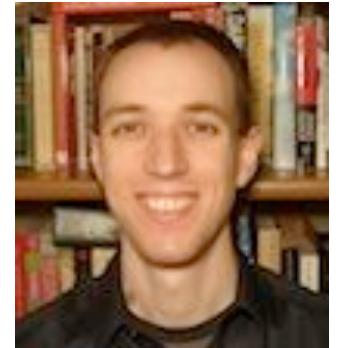


<http://en.wikipedia.org/wiki/Quicksort>



THE G-NOME PROJECT

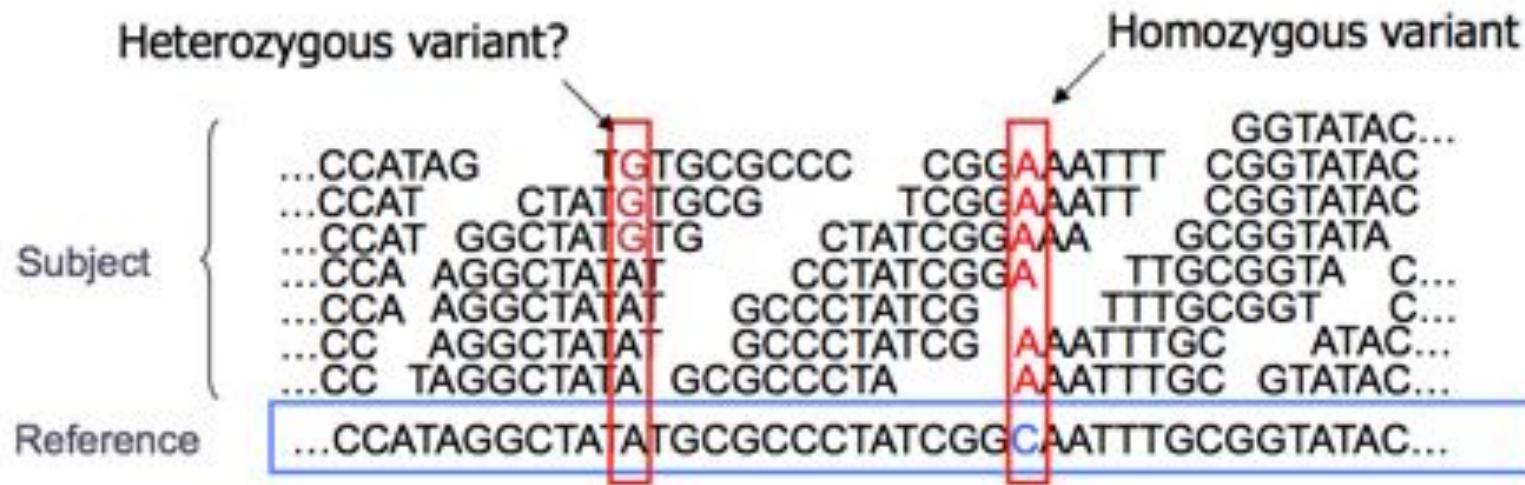
Break



# Bowtie: Ultrafast and memory efficient alignment of short DNA sequences to the human genome

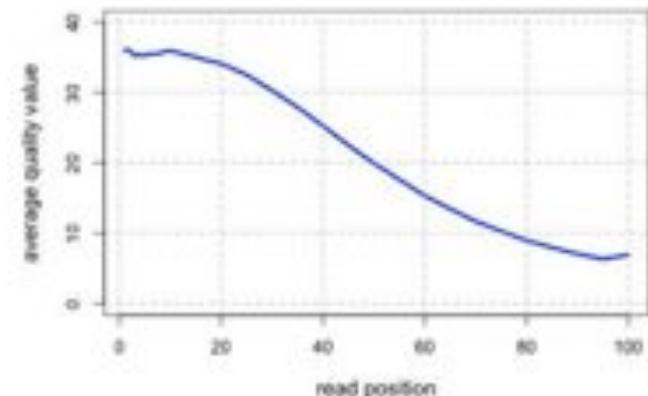
Slides Courtesy of Ben Langmead  
[langmead@umiacs.umd.edu](mailto:langmead@umiacs.umd.edu)

# Genotyping Theory



- Sequencing instruments make mistakes
  - Quality of read decreases over the read length
- A single read differing from the reference is probably just an error, but it becomes more likely to be real as we see it multiple times
  - Often framed as a Bayesian problem of more likely to be a real variant or chance occurrence of N errors

$$Q_{\text{sanger}} = -10 \log_{10} p$$



# In-exact alignment

- Where is GATTACA *approximately* in the human genome?
  - And how do we efficiently find them?
- It depends...
  - Define 'approximately'
    - Hamming Distance, Edit distance, or Sequence Similarity
    - Ungapped vs Gapped vs Affine Gaps
    - Global vs Local
    - All positions or the single 'best'?
  - Efficiency depends on the data characteristics & goals
    - Smith-Waterman: Exhaustive search for optimal alignments
    - BLAST: Hash-table based homology searches
    - Bowtie: BWT alignment for short read mapping

# Searching for GATTACA

- Where is GATTACA *approximately* in the human genome?

I	2	3	4	5	6	7	8	9	10	11	12	13	14	15	...
T	G	A	T	T	A	C	A	G	A	T	T	A	C	C	...
G	A	T	T	A	C	A									

Match Score: 1/7

# Searching for GATTACA

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T	G	A	T	T	A	C	A	G	A	T	T	A	C	C	...
	G	A	T	T	A	C	A								

Match Score: 7/7

# Searching for GATTACA

- Where is GATTACA *approximately* in the human genome?

I	2	3	4	5	6	7	8	9	10	11	12	13	14	15	...
T	G	A	T	T	A	C	A	G	A	T	T	A	C	C	...
		G	A	T	T	A	C	A	...						

Match Score: 1/7

# Searching for GATTACA

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I	2	3	4	5	6	7	8	9	10	11	12	13	14	15	...
T	G	A	T	T	A	C	A	G	A	T	T	A	C	C	...
								G	A	T	T	A	C	A	

Match Score: 6/7 <- We may be very interested in these imperfect matches  
Especially if there are no perfect end-to-end matches

# Similarity metrics

- Hamming distance
  - Count the number of substitutions to transform one string into another

GATTACA	ATTACCC
x	xx xx x
GATCACA	GATTACA
1	5

- Edit distance
  - The minimum number of substitutions, insertions, or deletions to transform one string into another

GATTACA	-ATTACCC
x	x    xx
GATCACA	GATTAC-A
1	3

# Edit Distance Example

AGCACACCA → ACACACTA in 4 steps

AGCACACCA → (1. change G to C)

ACCACACCA → (2. delete C)

ACACACCA → (3. change A to T)

ACACACTT → (4. insert A after T)

ACACACTA → done

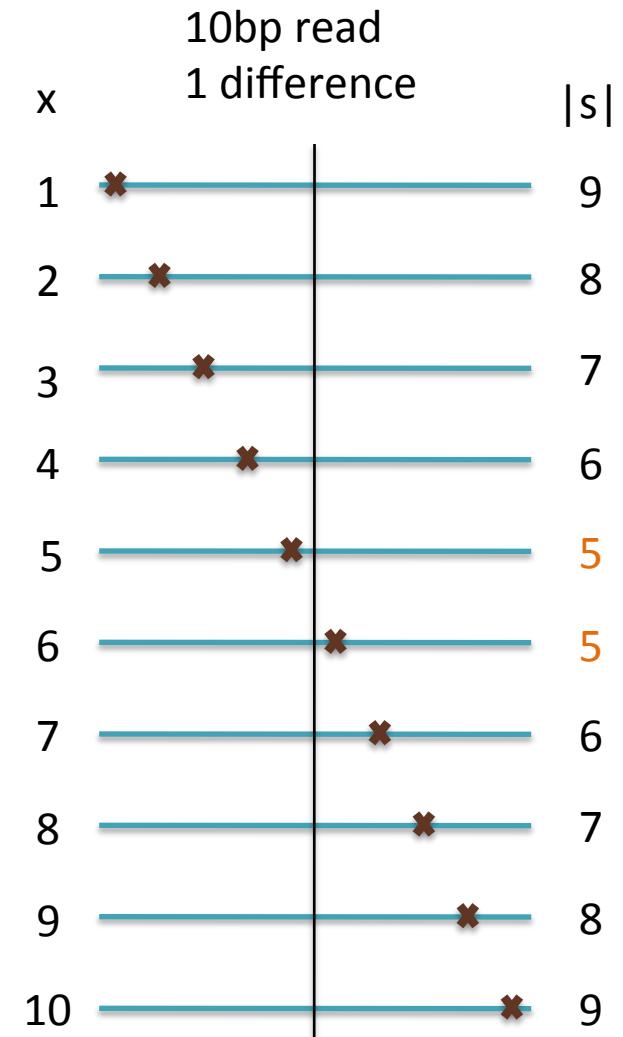
[Is this the best we can do?]

# Seed-and-Extend Alignment

**Notice binary search doesn't work for in-exact alignment because 1<sup>st</sup> (or any) character could be different**

Theorem: An alignment of a sequence of length  $m$  with at most  $k$  differences **must** contain an exact match at least  $s=m/(k+1)$  bp long  
(Baeza-Yates and Perleberg, 1996)

- Proof: Pigeonhole principle
  - 1 pigeon can't fill 2 holes
- Seed-and-extend search
  - Use an index to rapidly find short exact alignments to seed longer in-exact alignments
    - BLAST, MUMmer, Bowtie, BWA, SOAP, ...
  - Specificity of the depends on seed length
    - Guaranteed sensitivity for  $k$  differences
    - Also finds some (but not all) lower quality alignments <- heuristic



# Bowtie2 Overview

## 1. Split read into segments

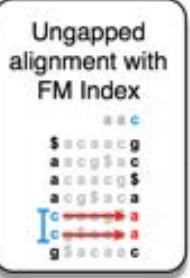
Read  
  
Policy: extract 16 nt seed every 10 nt

Seeds

+ , 0: CCAGTAGCTCTCAGCC	- , 0: TACAGGCCTGGGTAAA
+ , 10: TCAGCCTTATTTACC	- , 10: GGTAAAAATAAGGCTGA
+ , 20: TTTACCCAGGCCTGTA	- , 20: GGCTGAGAGCTACTGG

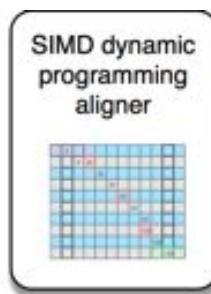
## 2. Lookup each segment and prioritize

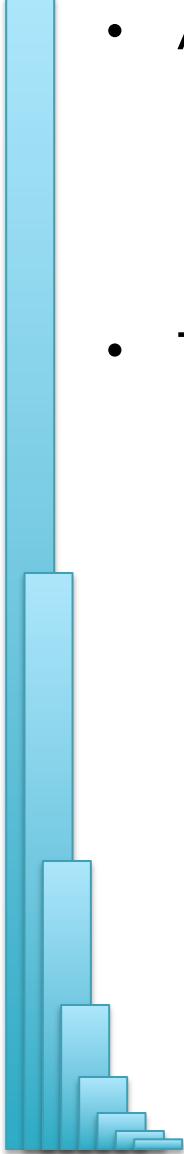
Seeds

+ , 0: CCAGTAGCTCTCAGCC	→	Ungapped alignment with FM Index	→	Seed alignments (as B ranges)
+ , 10: TCAGCCTTATTTACC				{ [211, 212], [212, 214] } { [653, 654], [651, 653] }
+ , 20: TTTACCCAGGCCTGTA				{ [684, 685] }
- , 0: TACAGGCCTGGGTAAA				{ }
- , 10: GGTAAAAATAAGGCTGA				{ }
- , 20: GGCTGAGAGCTACTGG				{ [624, 625] }

## 3. Evaluate end-to-end match

Extension candidates

SA:684, chr12:1955	→	SIMD dynamic programming aligner	→	SAM alignments
SA:624, chr2:462				r1 0 chr12 1936 0 36M * 0 0 CCAGTAGCTCTCAGCCTTATTTACCCAGGCCTGTA II AS:i:0 XS:i:-2 XN:i:0 XM:i:0 XO:i:0 XG:i:0 NM:i:0 MD:Z:36 YT:Z:UU YM:i:0
SA:211: chr4:762				...
SA:213: chr12:1935				
SA:652: chr12:1945				



# Algorithms Summary

- Algorithms choreograph the dance of data inside the machine
  - Algorithms add provable precision to your method
  - A smarter algorithm can solve the same problem with much less work
- Techniques
  - Analysis: Characterize performance, correctness
  - Modeling: Characterize what you expect to see
  - Binary search: Fast lookup in any sorted list
  - Divide-and-conquer: Split a hard problem into an easier problem
  - Recursion: Solve a problem using a function of itself
  - Indexing: Focus on just the important parts
  - Seed-and-extend: Anchor the problem using a portion of it

***“Think Harder and Compute Less”***

Dan Gusfield ~ UC Davis

# Questions?

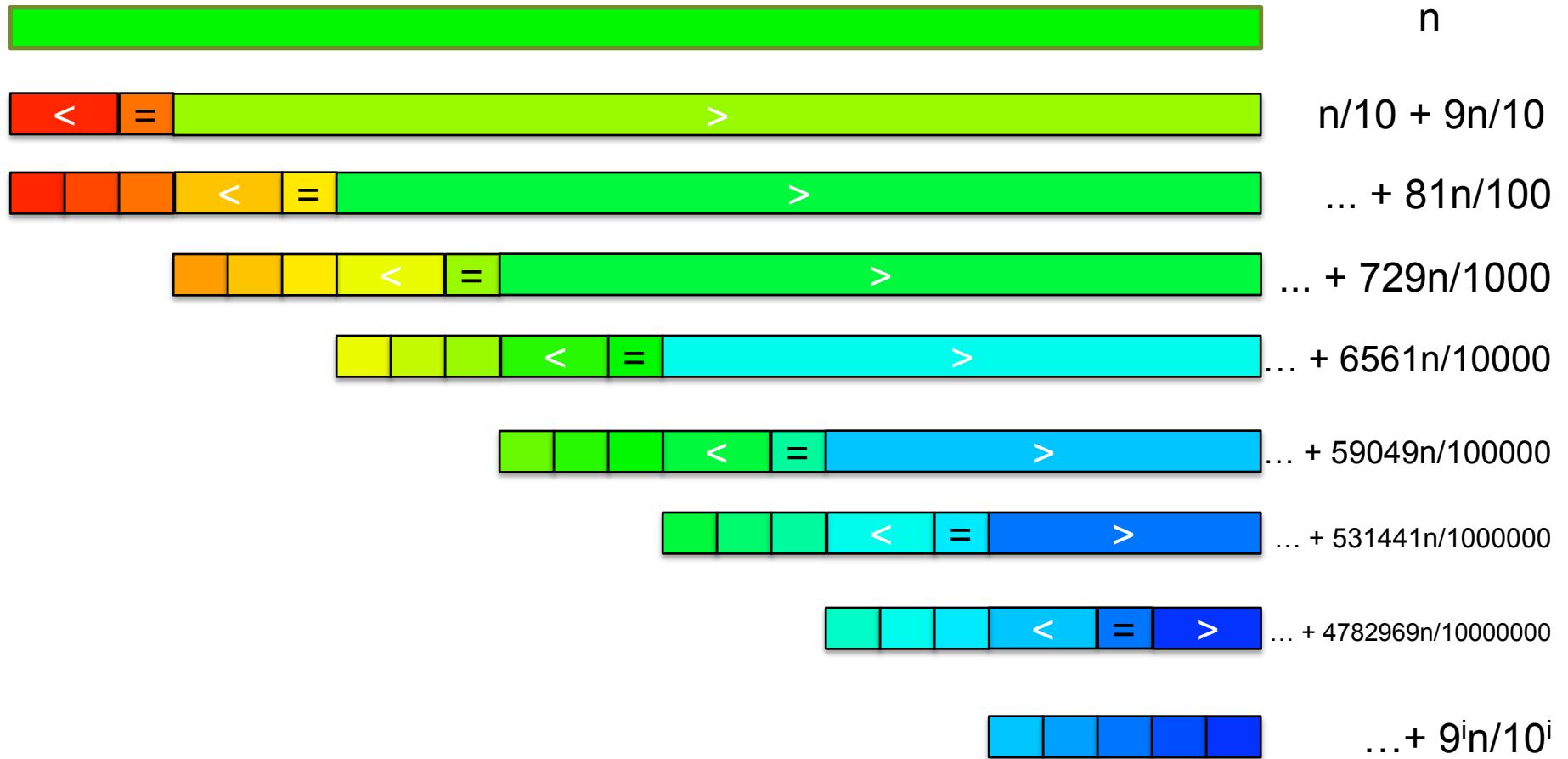
<http://schatzlab.cshl.edu>

@mike\_schatz



# Picking the Median

- What if we miss the median and do a 90/10 split instead?



[How many times can we cut 10% off a list?]

# Randomized Quicksort

- **90/10 split runtime analysis**

Find smallest  $x$  s.t.

$$T(n) = n + T\left(\frac{n}{10}\right) + T\left(\frac{9n}{10}\right) \quad (9/10)^x n \leq 1$$

$$T(n) = n + \frac{n}{10} + T\left(\frac{n}{100}\right) + T\left(\frac{9n}{100}\right) + \frac{9n}{10} + T\left(\frac{9n}{100}\right) + T\left(\frac{81n}{100}\right) \quad (10/9)^x \geq n$$

$$T(n) = n + n + T\left(\frac{n}{100}\right) + 2T\left(\frac{9n}{100}\right) + T\left(\frac{81n}{100}\right) \quad x \geq \log_{10/9} n$$

$$T(n) = \sum_{i=0}^{\log_{10/9}(n)} n = O(n \lg n)$$

- If we randomly pick a pivot, we will get at least a 90/10 split with very high probability
  - Everything is okay as long as we always slice off a fraction of the list

[Challenge Question: What happens if we slice 1 element]

# Edit Distance Example

AGCACACCA → ACACACTA in 3 steps

AGCACACCA → (1. change G to C)

ACCACACCA → (2. delete C)

ACACACCA → (3. insert T after 3<sup>rd</sup> C)

ACACACTA → done

[Is this the best we can do?]

# Dynamic Programming Matrix

		A	C	A	C	A	C	T	A
	<u>0</u>	1	2	3	4	5	6	7	8
A	1	<u>0</u>	1	2	3	4	5	6	7
G	2	<u>1</u>	1	2	3	4	5	6	7
C	3	2	<u>1</u>	2	2	3	4	5	6
A	4	3	2	<u>1</u>	2	2	3	4	5
C	5	4	3	2	<u>1</u>	2	2	3	4
A	6	5	4	3	2	<u>1</u>	2	3	3
C	7	6	5	4	3	2	<u>1</u>	<u>2</u>	3
A	8	7	6	5	4	3	2	2	<u>2</u>

$$D[AGCACACA, ACACACTA] = 2$$

AGCACAC-A

| \* | | | | \*

A-CACACTA

[Can we do it any better?]